

**PHY 711 Classical Mechanics and  
Mathematical Methods  
10-10:50 AM MWF Olin 103**

**Plan for Lecture 17:**

**Continue reading Chapter 4**

- 1. Normal modes for extended one-dimensional systems**
- 2. Normal modes for 2 and 3 dimensional systems**

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**Course schedule**

(Preliminary schedule -- subject to frequent adjustment)

Date	F&W Reading	Topic	Assignment
1 Wed. 8/28/2013	Chap. 1	Review of basic principles. Scattering theory	#1
2 Fri. 8/30/2013	Chap. 1	Scattering theory continued	#2
3 Mon. 9/02/2013	Chap. 1	Scattering theory continued	#3
4 Wed. 9/04/2013	Chap. 2	Accelerated Coordinate Systems	#4
5 Fri. 9/06/2013	Chap. 3	Calculus of variations	#5
6 Mon. 9/09/2013	Chap. 3	Calculus of variations -- continued	
7 Wed. 9/11/2013	Chap. 3	Calculus of variations applied to Lagrangians	#6
8 Fri. 9/13/2013	Chap. 3	Lagrangian mechanics	#7
9 Mon. 9/16/2013	Chap. 3 & 6	Lagrangian mechanics	#8
10 Wed. 9/18/2013	Chap. 3 & 6	Lagrangian mechanics	#9
11 Fri. 9/20/2013	Chap. 3 & 6	Lagrangian & Hamiltonian mechanics	#10
12 Mon. 9/23/2013	Chap. 3 & 6	Hamiltonian formalism	#11
13 Wed. 9/25/2013	Chap. 3 & 6	Hamiltonian formalism	#12
14 Fri. 9/27/2013	Chap. 3 & 6	Hamiltonian formalism	#13
15 Mon. 9/30/2013	Chap. 4	Small Oscillations	#14
16 Wed. 10/02/2013	Chap. 4	Small Oscillations	
17 Fri. 10/04/2013	Chap. 4	Small Oscillations	#15

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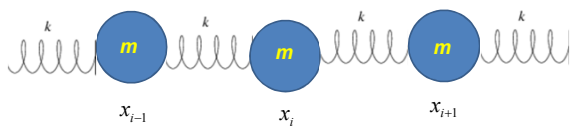
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Consider an infinite system of masses and springs:



Note: each mass coordinate is measured relative to its equilibrium position  $x_i^0$

$$L = T - V = \frac{1}{2} m \sum_{i=0}^{\infty} \dot{x}_i^2 - \frac{1}{2} k \sum_{i=0}^{\infty} (x_{i+1} - x_i)^2$$

Note: In this case we have an infinite number of identical masses and springs.

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In this case, the Euler - Lagrange equations all have the form :

$$m\ddot{x}_i = k(x_{i+1} - 2x_i + x_{i-1})$$

Again try:  $x_j(t) = Ae^{-i\omega t + iqaj}$

$$-\omega^2 Ae^{-i\omega t + iqaj} = \frac{k}{m}(e^{iqa} - 2 + e^{-iqa})Ae^{-i\omega t + iqaj}$$

$$-\omega^2 = \frac{k}{m}(2 \cos(qa) - 2)$$

$$\Rightarrow \omega^2 = \frac{4k}{m} \sin^2\left(\frac{qa}{2}\right)$$

$$\omega = 2\sqrt{\frac{k}{m}} \sin\left(\frac{qa}{2}\right)$$

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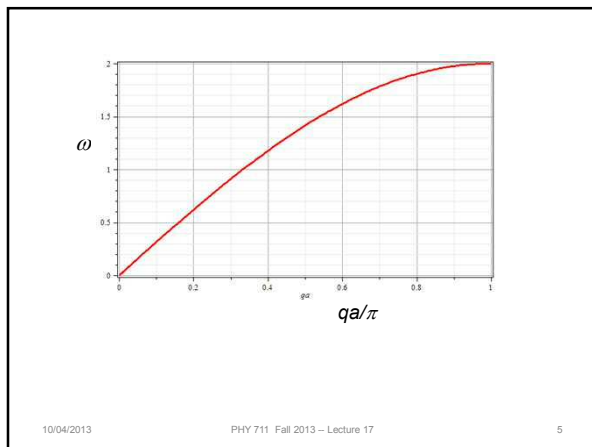
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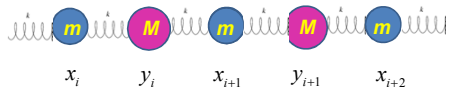
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Consider an infinite system of masses and springs now with two kinds of masses:



Note : each mass coordinate is measured relative to its equilibrium position  $x_i^0, y_i^0, \dots$

$$L = T - V$$

$$= \frac{1}{2}m \sum_{i=0}^{\infty} \dot{x}_i^2 + \frac{1}{2}M \sum_{i=0}^{\infty} \dot{y}_i^2 - \frac{1}{2}k \sum_{i=0}^{\infty} (x_{i+1} - y_i)^2 - \frac{1}{2}k \sum_{i=0}^{\infty} (y_i - x_i)^2$$

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$L = T - V$   
 $= \frac{1}{2}m \sum_{i=0}^{\infty} \dot{x}_i^2 + \frac{1}{2}M \sum_{i=0}^{\infty} \dot{y}_i^2 - \frac{1}{2}k \sum_{i=0}^{\infty} (x_{i+1} - x_i)^2 - \frac{1}{2}k \sum_{i=0}^{\infty} (y_i - x_i)^2$   
 Euler - Lagrange equations :  
 $m\ddot{x}_j = k(y_{j-1} - 2x_j + y_j)$   
 $M\ddot{y}_j = k(x_j - 2y_j + x_{j+1})$   
 Trial solution :  
 $x_j(t) = Ae^{-i\omega t + i2qaj}$   
 $y_j(t) = Be^{-i\omega t + i2qaj}$   
 $\begin{pmatrix} m\omega^2 - 2k & k(e^{-i2qa} + 1) \\ k(e^{i2qa} + 1) & M\omega^2 - 2k \end{pmatrix} \begin{pmatrix} A \\ B \end{pmatrix} = 0$

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$\begin{pmatrix} m\omega^2 - 2k & k(e^{-i2qa} + 1) \\ k(e^{i2qa} + 1) & M\omega^2 - 2k \end{pmatrix} \begin{pmatrix} A \\ B \end{pmatrix} = 0$   
 Solutions :  
 $\omega_{\pm}^2 = \frac{k}{m} + \frac{k}{M} \pm k \sqrt{\frac{1}{m^2} + \frac{1}{M^2} + \frac{2\cos(2qa)}{mM}}$

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Eigenvectors:

For  $qa = 0$  :

$\omega_- = 0$        $\omega_+ = \sqrt{\frac{2k}{m} + \frac{2k}{M}}$   
 $\begin{pmatrix} A \\ B \end{pmatrix}_- = N \begin{pmatrix} 1 \\ 1 \end{pmatrix}$        $\begin{pmatrix} A \\ B \end{pmatrix}_+ = N \begin{pmatrix} 1 \\ -1 \end{pmatrix}$

For  $qa = \frac{\pi}{2}$  :

$\omega_- = \sqrt{\frac{2k}{M}}$        $\omega_+ = \sqrt{\frac{2k}{m}}$   
 $\begin{pmatrix} A \\ B \end{pmatrix}_- = N \begin{pmatrix} 1 \\ 0 \end{pmatrix}$        $\begin{pmatrix} A \\ B \end{pmatrix}_+ = N \begin{pmatrix} 0 \\ 1 \end{pmatrix}$

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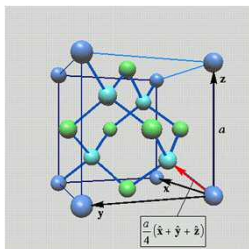
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Lattice vibrations for 3-dimensional lattice

Example: diamond lattice



Ref: [http://phycomp.technion.ac.il/~nika/diamond\\_structure.html](http://phycomp.technion.ac.il/~nika/diamond_structure.html)

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Atoms located at the positions :

$$\mathbf{R}^a = \mathbf{R}_0^a + \mathbf{u}^a$$

Potential energy function near equilibrium :

$$U(\{\mathbf{R}^a\}) \approx U(\{\mathbf{R}_0^a\}) + \frac{1}{2} \sum_{a,b} (\mathbf{R}^a - \mathbf{R}_0^a) \cdot \left. \frac{\partial^2 U}{\partial \mathbf{R}^a \partial \mathbf{R}^b} \right|_{\{\mathbf{R}_0^a\}} (\mathbf{R}^b - \mathbf{R}_0^b)$$

Define :

$$D_{jk}^{ab} \equiv \left. \frac{\partial^2 U}{\partial \mathbf{R}_j^a \partial \mathbf{R}_k^b} \right|_{\{\mathbf{R}_0^a\}}$$

so that

$$U(\{\mathbf{R}^a\}) \approx U_0 + \frac{1}{2} \sum_{a,b,j,k} u_j^a D_{jk}^{ab} u_k^b$$

$$L(\{u_j^a, \dot{u}_j^a\}) = \frac{1}{2} \sum_{a,j} m_a (\dot{u}_j^a)^2 - U_0 - \frac{1}{2} \sum_{a,b,j,k} u_j^a D_{jk}^{ab} u_k^b$$

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$$L(\{u_j^a, \dot{u}_j^a\}) = \frac{1}{2} \sum_{a,j} m_a (\dot{u}_j^a)^2 - U_0 - \frac{1}{2} \sum_{a,b,j,k} u_j^a D_{jk}^{ab} u_k^b$$

Equations of motion :

$$m_a \ddot{u}_j^a = - \sum_{b,k} D_{jk}^{ab} u_k^b$$

Solution form :

$$u_j^a(t) = \frac{1}{\sqrt{m_a}} A_j^a e^{-i\omega t + i\mathbf{q} \cdot \mathbf{R}_0^a}$$

Details:  $\mathbf{R}_0^a = \boldsymbol{\tau}^a + \mathbf{T}$  where  $\boldsymbol{\tau}^a$  denotes unique sites and  $\mathbf{T}$  denotes replicas

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Define:

$$W_{jk}^{ab}(\mathbf{q}) = \sum_{\mathbf{r}} \frac{D_{jk}^{ab} e^{i\mathbf{q} \cdot (\mathbf{r} - \mathbf{r}')} }{\sqrt{m_a m_b}} e^{i\mathbf{q} \cdot \mathbf{r}}$$

Eigenvalue equations:

$$\omega^2 A_j^a = \sum_{b,k} W(\mathbf{q})_{jk}^{ab} A_k^b$$

In this equation the summation is only over unique atomic sites.

⇒ Find "dispersion curves"  $\omega(\mathbf{q})$

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B. P. Pandy and B. Dayal, J. Phys. C. Solid State Phys. **6** 2943 (1973)

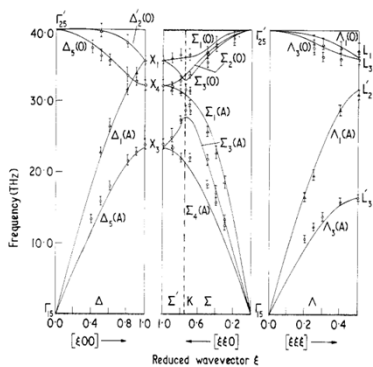


Figure 2. Phonon dispersion curves of diamond. Experimental points *et al* (1965, 1967).  $\Delta$  and  $\circ$  represent the longitudinal and transverse modes.

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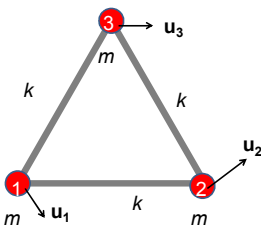
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Example – normal modes of a system with the symmetry of an equilateral triangle



Degrees of freedom for 2-dimensional motion :  $2N = 6$

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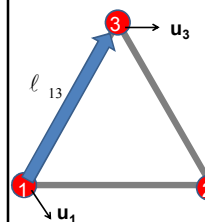
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Example – normal modes of a system with the symmetry of an equilateral triangle -- continued



Potential contribution for spring 13 :

$$V_{13} = \frac{1}{2} k (|\ell_{13} + \mathbf{u}_3 - \mathbf{u}_1| - \ell_{13})^2$$

$$\approx \frac{1}{2} k \left( \frac{\ell_{13} \cdot (\mathbf{u}_3 - \mathbf{u}_1)}{|\ell_{13}|} \right)^2$$

$$\approx \frac{1}{2} k \left( \frac{1}{2} (u_{x3} - u_{x1}) + \frac{\sqrt{3}}{2} (u_{y3} - u_{y1}) \right)^2$$

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Example – normal modes of a system with the symmetry of an equilateral triangle -- continued

Potential contributions :

$$V = V_{12} + V_{13} + V_{23}$$

$$\approx \frac{1}{2} k (u_{x2} - u_{x1})^2$$

$$+ \frac{1}{2} k \left( \frac{1}{2} (u_{x3} - u_{x1}) + \frac{\sqrt{3}}{2} (u_{y3} - u_{y1}) \right)^2$$

$$+ \frac{1}{2} k \left( \frac{1}{2} (u_{x2} - u_{x3}) - \frac{\sqrt{3}}{2} (u_{y2} - u_{y3}) \right)^2$$

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Example – normal modes of a system with the symmetry of an equilateral triangle -- continued

$$\frac{k}{m} \begin{pmatrix} \frac{5}{4} & -1 & -\frac{1}{4} & \frac{1}{4}\sqrt{3} & 0 & -\frac{1}{4}\sqrt{3} \\ -1 & \frac{5}{4} & -\frac{1}{4} & 0 & -\frac{1}{4}\sqrt{3} & \frac{1}{4}\sqrt{3} \\ -\frac{1}{4} & -\frac{1}{4} & \frac{1}{2} & -\frac{1}{4}\sqrt{3} & \frac{1}{4}\sqrt{3} & 0 \\ \frac{1}{4}\sqrt{3} & 0 & -\frac{1}{4}\sqrt{3} & \frac{3}{4} & 0 & -\frac{3}{4} \\ 0 & -\frac{1}{4}\sqrt{3} & \frac{1}{4}\sqrt{3} & 0 & \frac{3}{4} & -\frac{3}{4} \\ -\frac{1}{4}\sqrt{3} & \frac{1}{4}\sqrt{3} & 0 & -\frac{3}{4} & -\frac{3}{4} & \frac{3}{2} \end{pmatrix} \begin{pmatrix} u_{x1} \\ u_{x2} \\ u_{x3} \\ u_{y1} \\ u_{y2} \\ u_{y3} \end{pmatrix} = \omega^2 \begin{pmatrix} u_{x1} \\ u_{x2} \\ u_{x3} \\ u_{y1} \\ u_{y2} \\ u_{y3} \end{pmatrix}$$

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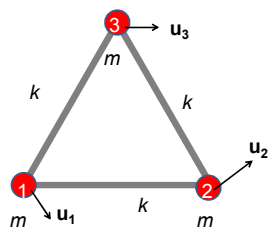
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Example – normal modes of a system with the symmetry of an equilateral triangle -- continued



$$\omega^2 = \begin{bmatrix} 3 \\ \frac{3}{2} \\ \frac{3}{2} \\ 0 \\ 0 \\ 0 \end{bmatrix} \frac{k}{m}$$

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