

# Status of Initial Data for Binary Black Hole Collisions

Gregory B. Cook

Wake Forest University

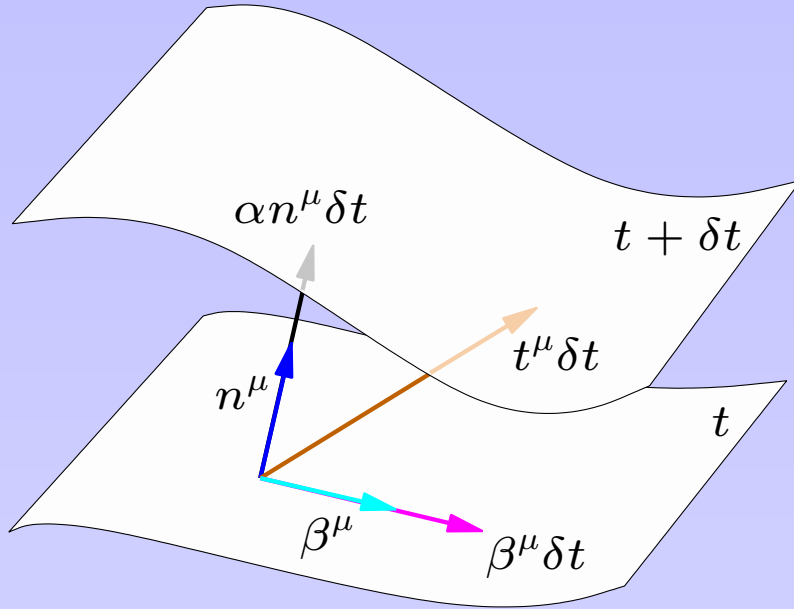
April 23, 2006

## Abstract

The first initial data for black-hole binaries were derived from analytic time-symmetric multi-hole solutions of Misner and Lindquist in the early 1960s. These served as a test-bed for all of the pioneering efforts to evolve black-hole binaries to collision. The first major revolution in this field was introduced by Bowen and York in 1980, allowed for time-asymmetric data representing boosted and spinning holes, and required the numerical solution of a single scalar boundary-value problem. Initial-data methods based on the Bowen-York extrinsic curvature were developed and explored over the last 25 years and initial data based on these methods are still widely used for black-hole binary evolutions. However, in the past 5 years, a second major revolution has taken place that promises to yield initial data that is much more astrophysically realistic. These new initial-data sets are more computationally expensive to construct and their full physical content is still being explored. In this talk, we will look at this new method for constructing black-hole binary initial data, see what it does well, and where it needs further improvement.

Collaborators: Harald Pfeiffer (Caltech), Jason D. Grigsby (WFU), & Matthew Caudill (WFU)

# The 3 + 1 Decomposition



Lapse :  $\alpha$

Spatial metric :  $\gamma_{ij}$

Shift vector :  $\beta^i$

Extrinsic Curvature :  $K_{ij}$

Time vector :  $t^\mu = \alpha n^\mu + \beta^\mu$

$$ds^2 = -\alpha^2 dt^2 + \gamma_{ij}(dx^i + \beta^i dt)(dx^j + \beta^j dt)$$

$$\gamma_{\mu\nu} = g_{\mu\nu} + n_\mu n_\nu \quad K_{\mu\nu} = -\frac{1}{2}\gamma_\mu^\alpha \gamma_\nu^\beta \mathcal{L}_n g_{\alpha\beta}$$

## Constraint equations

$$\bar{R} + K^2 - K_{ij}K^{ij} = 16\pi\rho$$

$$\bar{\nabla}_j (K^{ij} - \gamma^{ij}K) = 8\pi j^i$$

$$S_{\mu\nu} \equiv \gamma_\mu^\alpha \gamma_\nu^\beta T_{\alpha\beta}$$

$$j_\mu \equiv -\gamma_\mu^\nu n^\alpha T_{\nu\alpha}$$

$$\rho \equiv n^\mu n^\nu T_{\mu\nu}$$

$$T_{\mu\nu} = S_{\mu\nu} + 2n_{(\mu}j_{\nu)} + n_\mu n_\nu \rho$$

## Evolution equations

$$\partial_t \gamma_{ij} = -2\alpha K_{ij} + \bar{\nabla}_i \beta_j + \bar{\nabla}_j \beta_i$$

$$\begin{aligned} \partial_t K_{ij} = & -\bar{\nabla}_i \bar{\nabla}_j \alpha + \alpha \left[ \bar{R}_{ij} - 2K_{il}K_j^l + K K_{ij} \right. \\ & \left. - 8\pi S_{ij} + 4\pi \gamma_{ij}(S - \rho) \right] \\ & + \beta^\ell \bar{\nabla}_\ell K_{ij} + K_{il} \bar{\nabla}_j \beta^\ell + K_{jl} \bar{\nabla}_i \beta^\ell \end{aligned}$$

# Degrees of Freedom

## Kinematical variables

- Lapse  $\alpha$  : 1 degree of freedom
- Shift  $\beta^i$  : 3 degrees of freedom

## Initial-data variables

- Metric  $\gamma_{ij}$  : 6 degrees of freedom
- Extrinsic curvature  $K_{ij}$  : 6 degrees of freedom

## Decomposition of initial-data variables

$$\gamma_{ij} = \psi^4 \tilde{\gamma}_{ij} \left\{ \begin{array}{l} \psi : 1 \text{ constrained DOF} \\ \tilde{\gamma}_{ij} : \left\{ \begin{array}{l} 3 \text{ spatial gauge DOF} \\ 2 \text{ dynamical DOF} \end{array} \right\} \end{array} \right\} \text{Freely Specifiable}$$

$$K^{ij} = \psi^{-10} \left[ \frac{1}{\tilde{\sigma}} (\tilde{\mathbb{L}}V)^{ij} + \tilde{M}^{ij} \right] + \frac{1}{3} \psi^{-4} \tilde{\gamma}^{ij} K \left\{ \begin{array}{l} V^i : \left\{ \begin{array}{l} (\tilde{\mathbb{L}}V)^{ij} \equiv \tilde{\nabla}^i V^j + \tilde{\nabla}^j V^i - \frac{2}{3} \tilde{\gamma}^{ij} \tilde{\nabla}_k V^k \\ 3 \text{ constrained DOF} \end{array} \right\} \\ \tilde{M}^{ij} : \left\{ \begin{array}{l} \tilde{\nabla}_j \tilde{M}^{ij} = \tilde{\nabla}_j \left( \frac{1}{\tilde{\sigma}} (\tilde{\mathbb{L}}X)^{ij} \right) \\ 2 \text{ dynamical DOF} \end{array} \right\} \\ K : 1 \text{ temporal gauge DOF} \\ \tilde{\sigma} : \text{Defn. of TT decomp.} \end{array} \right\} \text{Freely Specifiable}$$

# 1<sup>st</sup>-Generation Binary Black-Hole ID

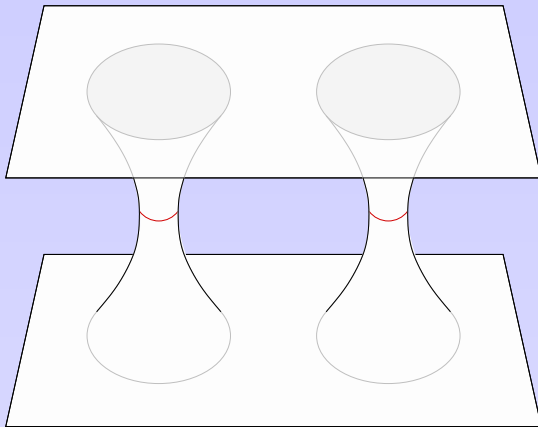
## 1963

### Conformal flatness and time symmetry

$$\left. \begin{array}{l} \tilde{\gamma}_{ij} = f_{ij} \text{ (flat)} \\ K_{ij} = 0 \end{array} \right\} \Rightarrow \tilde{\nabla}^2 \psi = 0$$

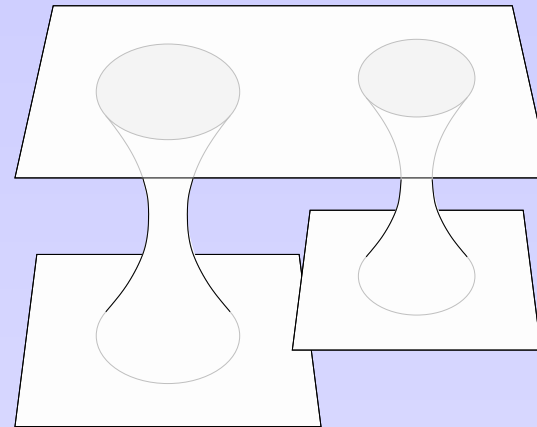
**Two general solution schemes:** Both yield analytic expressions for  $\psi$ .

Misner Data-[16]



Inversion symmetry,  
“Two-sheeted”

Lindquist/Brill-Lindquist Data-[14, 5]



“Three-sheeted”

Both methods can model *instantaneously stationary* black holes of various sizes and separations.

# 2<sup>nd</sup>-Generation Binary Black-Hole ID

1980's–1990's

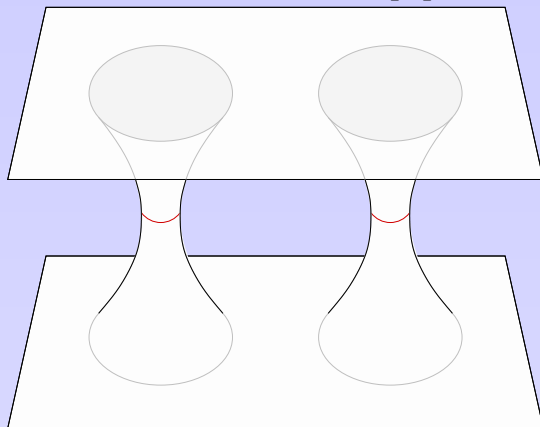
## Conformal flatness and maximal slicing

$$\left. \begin{array}{l} \tilde{\gamma}_{ij} = f_{ij} \text{ (flat)} \\ \tilde{M}^{ij} = 0 \\ K = 0 \\ \tilde{\sigma} = 1 \end{array} \right\} \Rightarrow \left\{ \begin{array}{l} \tilde{\nabla}_i (\tilde{\mathbb{L}}V)^{ij} = 0 \Rightarrow \text{Bowen-York solution [3] 1980} \\ \tilde{\nabla}^2 \psi + \frac{1}{8} \psi^{-7} \tilde{A}_{ij} \tilde{A}^{ij} = 0 \Rightarrow \text{Numerical solution for } \psi \end{array} \right.$$

Analytic solutions for  $\tilde{A}^{ij}$

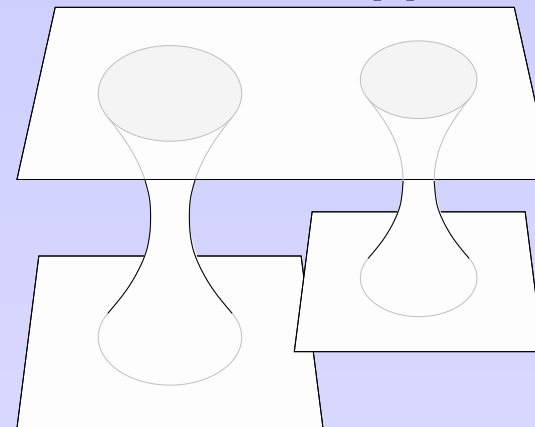
## Three general solution schemes

Conformal Imaging-[8] 1990 (2D), 1993 (3D)



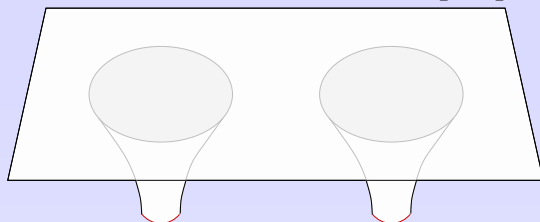
Inversion  
symmetry  
inner-BC

Puncture Method-[4] 1997 (3D)



No inner-BC:  
singular  
behavior  
factored out

Apparent Horizon BC-[18] 1987 (2D)



Apparent  
horizon  
inner-BC

All methods can produce very general configurations of multiple black holes, but are fundamentally limited by choices for  $\tilde{\gamma}_{ij}$  and Bowen-York  $\tilde{A}^{ij}$ .

# 3<sup>rd</sup>-Generation Binary Black-Hole ID

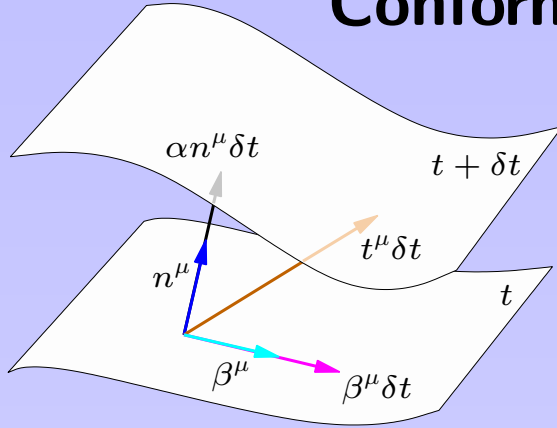
## 2000–

Methods that incorporate numerical solution of the momentum constraints but use fixed choices for  $\tilde{\gamma}_{ij}$  and  $\tilde{M}^{ij}$ .

- Kerr background
  - Conformal TT/**Boundary Conditions?**: 2000[15, 17]
  - Conformal Thin-Sandwich/**Boundary Conditions?**: 2002[17]
- Helical Killing vector/Quasi-equilibrium
  - Conformal Thin-Sandwich/**Inversion Symmetry**: 2002[11, 12]
  - ★ Conformal Thin-Sandwich/**AH**: 2002[7, 9]
  - Conformal Thin-Sandwich/**Puncture**: 2005[13]
  - Conformal Thin-Sandwich/**Excision**: 2004[21]
  - Conformal TT<sup>1</sup>/**Puncture**: 2004[19]
- PN background
  - Conformal TT/**Puncture**: 2003[20]

<sup>1</sup>Uses analytic B-Y vector potential instead of numerically solving momentum constraints, but also constructs lapse and shift through elliptic equations..

# Conformal Thin-Sandwich Decomposition



$$\gamma_{ij} = \psi^4 \tilde{\gamma}_{ij}$$

$$K^{ij} = \frac{\psi^{-10}}{2\tilde{\alpha}} \left[ (\tilde{\mathbb{L}}\beta)^{ij} - \tilde{u}^{ij} \right] + \frac{1}{3}\psi^{-4}\tilde{\gamma}^{ij}K$$

**Hamiltonian Const.**  $\tilde{\nabla}^2\psi - \frac{1}{8}\psi\tilde{R} - \frac{1}{12}\psi^5K^2 + \frac{1}{8}\psi^{-7}\tilde{A}_{ij}\tilde{A}^{ij} = -2\pi\psi^5\rho$

**Momentum Const.**  $\tilde{\nabla}_j(\tilde{\mathbb{L}}\beta)^{ij} - (\tilde{\mathbb{L}}\beta)^{ij}\tilde{\nabla}_j\tilde{\alpha} = \frac{4}{3}\tilde{\alpha}\psi^6\tilde{\nabla}^iK + \tilde{\alpha}\tilde{\nabla}_j\left(\frac{1}{\tilde{\alpha}}\tilde{u}^{ij}\right) + 16\pi\tilde{\alpha}\psi^{10}j^i$

**Const. Tr(K) eqn.**  $\tilde{\nabla}^2(\psi^7\tilde{\alpha}) - (\psi^7\tilde{\alpha})\left[\frac{1}{8}\psi\tilde{R} + \frac{5}{12}\psi^5K^2 + \frac{7}{8}\psi^{-7}\tilde{A}_{ij}\tilde{A}^{ij} - \psi^5\beta^i\tilde{\nabla}_iK\right]$   
 $= -2\pi\psi^5K(\rho + 2S) - \psi^5\partial_tK$

$$\tilde{A}^{ij} \equiv \frac{1}{2\tilde{\alpha}} \left[ (\tilde{\mathbb{L}}\beta)^{ij} - \tilde{u}^{ij} \right]$$

**Constrained vars :**  $\psi$ ,  $\beta^i$ , and  $\tilde{\alpha} \equiv \psi^{-6}\alpha$

**Freely specified :**  $\tilde{\gamma}_{ij}$   $\tilde{u}^{ij} \equiv -\partial_t\tilde{\gamma}^{ij}$   
 $K$  *and*  $\partial_tK$

$$\text{Quasiequilibrium} \Rightarrow \begin{cases} \partial_t\tilde{\gamma}^{ij} = 0 \\ \partial_tK = 0 \end{cases}$$

# AH and QE Conditions on the Inner Boundary

The quasiequilibrium inner boundary conditions start with the following assumptions:

1. The inner boundary  $\mathcal{S}$  is a (MOTS):  
marginally outer-trapped surface

$$\rightarrow \theta = 0 \quad (\text{expansion})$$

2. The horizons are in quasiequilibrium:

$$\rightarrow \sigma_{ij} = 0 \text{ and no matter is on } \mathcal{S} \quad (\text{shear})$$

Raychaudhuri's equation implies that MOTS initially evolves along  $k^\mu$ .

$$\mathcal{L}_k \theta = \frac{1}{2} \theta^2 - \sigma_{\mu\nu} \sigma^{\mu\nu} - \omega_{\mu\nu} \omega^{\mu\nu} - R_{\mu\nu} k^\mu k^\nu = 0$$

3. The time evolution vector lies in outgoing null surface through  $\mathcal{S}$ :

$$\rightarrow t^\mu k_\mu|_{\mathcal{S}} = 0$$

$$\tilde{s}^k \tilde{\nabla}_k \ln \psi = -\frac{1}{4} (\tilde{h}^{ij} \tilde{\nabla}_i \tilde{s}_j - \psi^2 J)$$

$$\beta^i = \alpha \psi^{-2} \tilde{s}^i + \beta_{\parallel}^i$$

$$0 = \tilde{D}_{(i} \beta_{\parallel j)} - \frac{1}{2} \tilde{h}_{ij} \tilde{D}_k \beta_{\parallel}^k$$

# Fixing the Orbital Angular Velocity

Komar-mass ansatz:

$\Omega_0$  is chosen as the value for which the ADM energy  $E_{\text{ADM}}$  equals the Komar mass  $M_{\text{K}}$ .

Komar  
mass

$$M_{\text{K}} = \frac{1}{4\pi} \oint_{\infty} \gamma^{ij} (\bar{\nabla}_i \alpha - \beta^k K_{ik}) d^2 S_j$$

Acceptable definition of the mass  
*only for stationary spacetimes.*

ADM  
energy

$$E_{\text{ADM}} = \frac{1}{16\pi} \oint_{\infty} \gamma^{ij} \bar{\nabla}_k (\mathcal{G}_i^k - \delta_i^k \mathcal{G}) d^2 S_j$$

Acceptable definition of the mass  
*for arbitrary spacetimes.*

$$\mathcal{G}_{ij} \equiv \gamma_{ij} - f_{ij}$$

Effective Potential Method:

$\Omega_0$  is chosen so that the ADM energy  $E_{\text{ADM}}$  is a minimum for a sequence of models along which the total angular momentum remains fixed.

# Measuring the Spin of a Black Hole

- Spin is only rigorously defined at spatial/null infinity.
- Must use *quasi-local* definition: e.g. Brown & York[6] or Ashtekar & Krishnan[2]

$$\begin{aligned} S &= \frac{1}{8\pi} \oint_{BH} K_{ij} \xi^i s^j \sqrt{h} d^2x \\ &= \frac{1}{8\pi} \oint_{BH} \tilde{A}_{ij} \xi^i \tilde{s}^j \sqrt{\tilde{h}} d^2x \end{aligned}$$

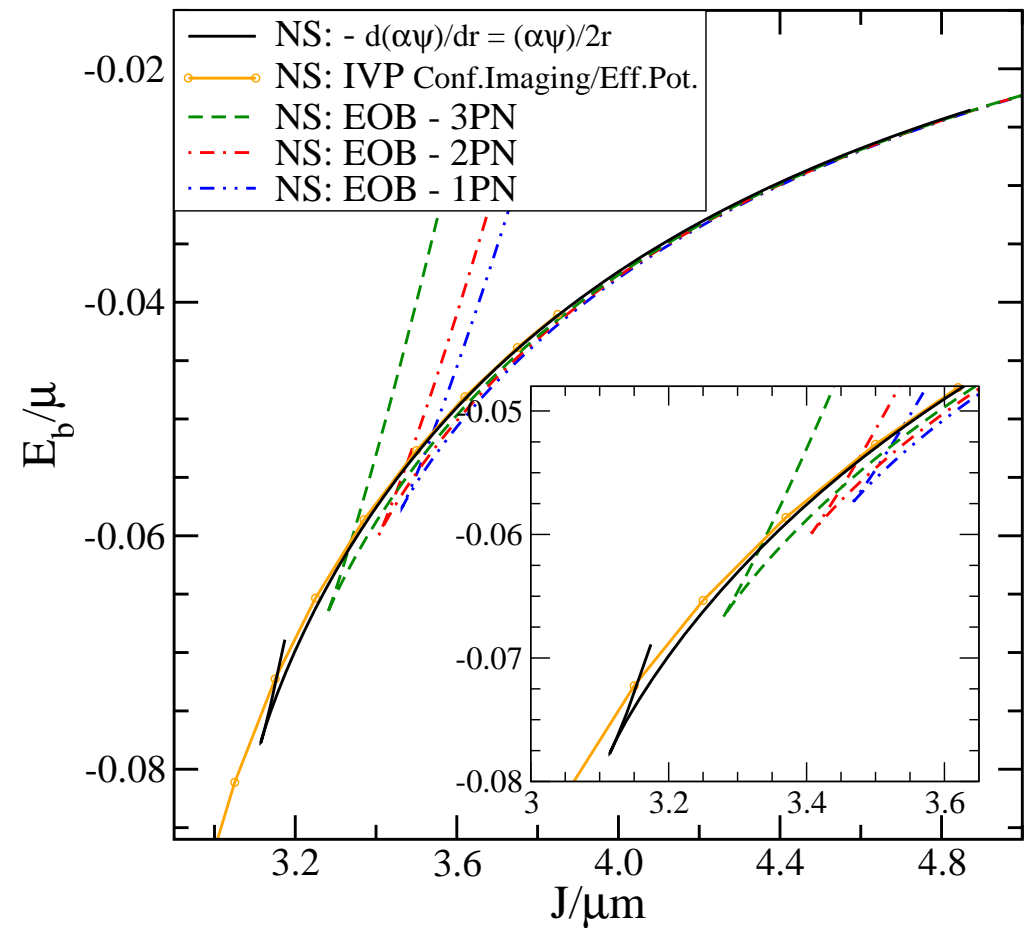
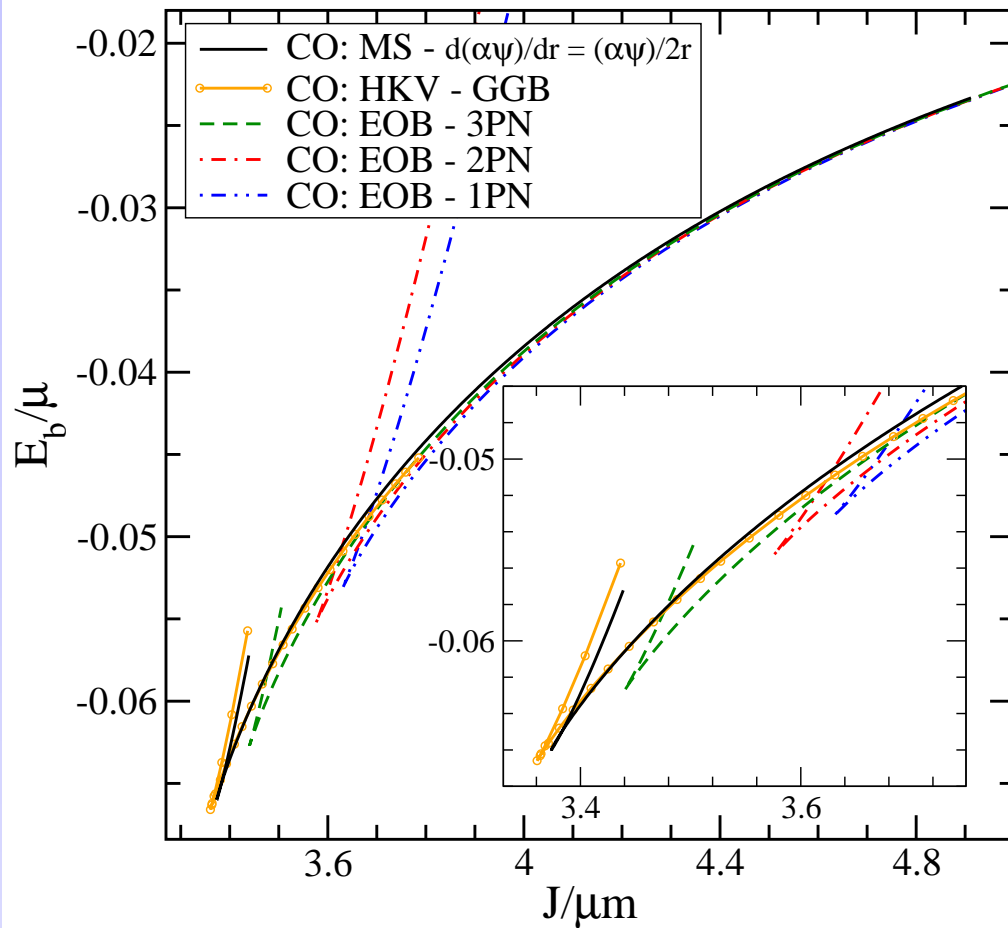
$$\xi^i \tilde{s}_i = 0$$

$$\xi^i = \begin{cases} \xi_{CK}^i & : \text{Killing vector of } \tilde{h}_{ij} \Rightarrow \text{conformal Killing vector of } h_{ij} \\ \xi_{KV}^i & : \text{Killing vector of } h_{ij} \text{ (Approximate)} \end{cases}$$

# Equal Mass; Maximal Slice; $E_b/\mu$ vs $J/\mu m$

## Corotation

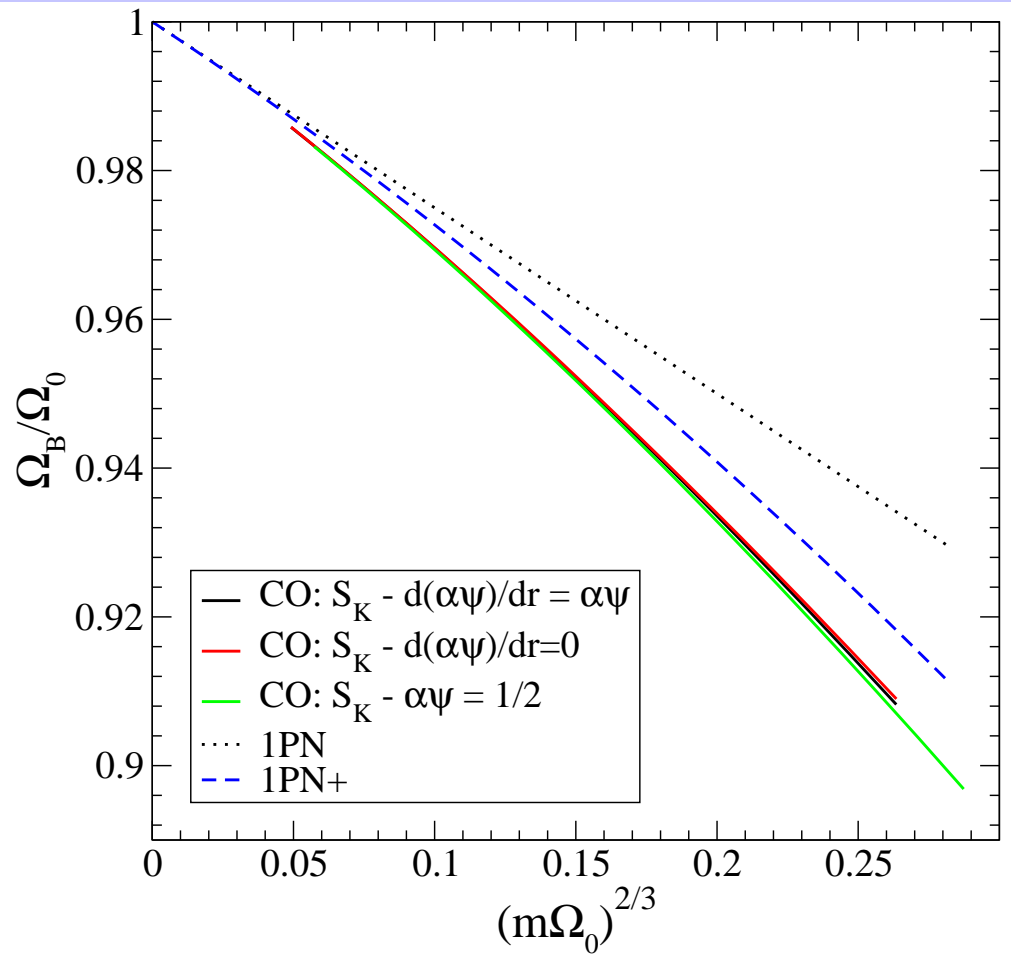
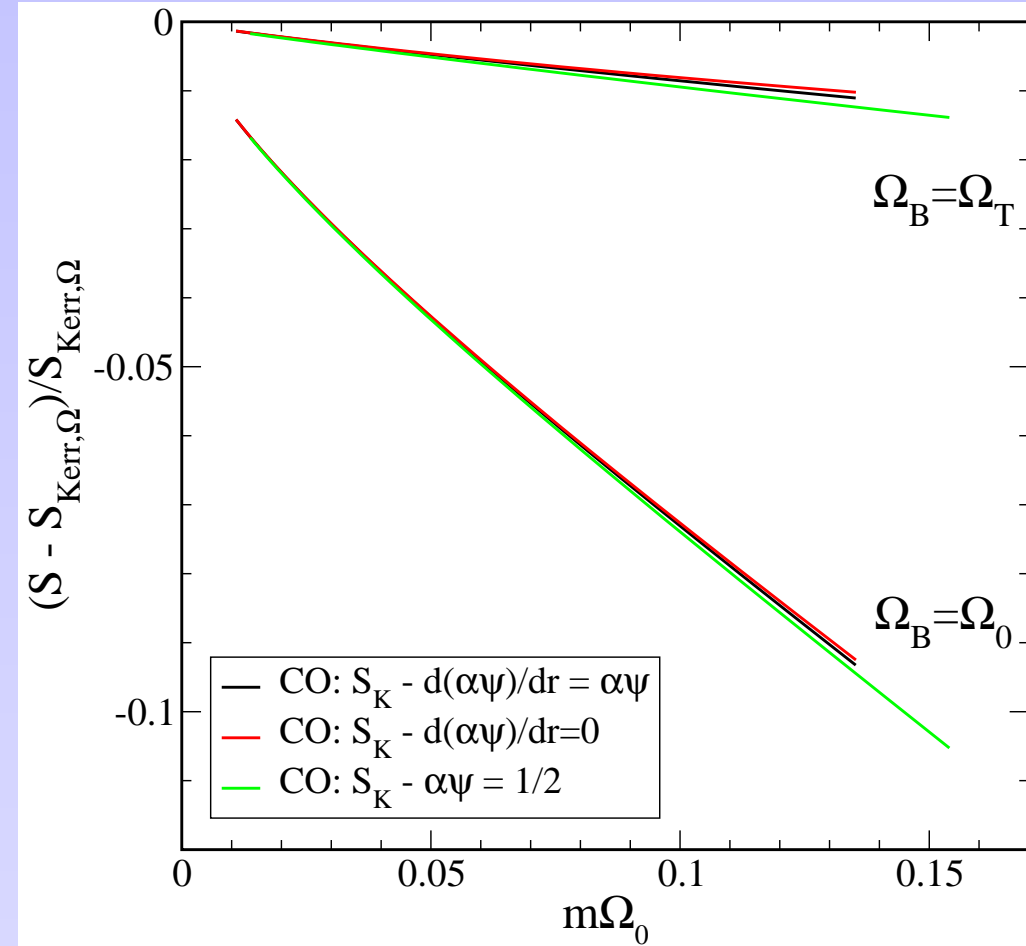
## Non-Spinning



# Corotation Spin

$$S_{\text{Kerr}}(M_{\text{irr}}, \Omega_{\text{B}}) = \frac{4M_{\text{irr}}^3 \Omega_{\text{B}}}{\sqrt{1 - 4(M_{\text{irr}} \Omega_{\text{B}})^2}}$$

$$\frac{\Omega_{\text{B}}}{\Omega_0} = \frac{1}{m\Omega_0} \frac{S/M_{\text{irr}}^2}{\sqrt{4 + (S/M_{\text{irr}}^2)^2}}$$



$$\Omega_{\text{T}} = \Omega_0 \left[ 1 - \eta \left(\frac{m}{b}\right) + O\left(\frac{m}{b}\right)^{3/2} \right] \quad \text{Alvi[1]}$$

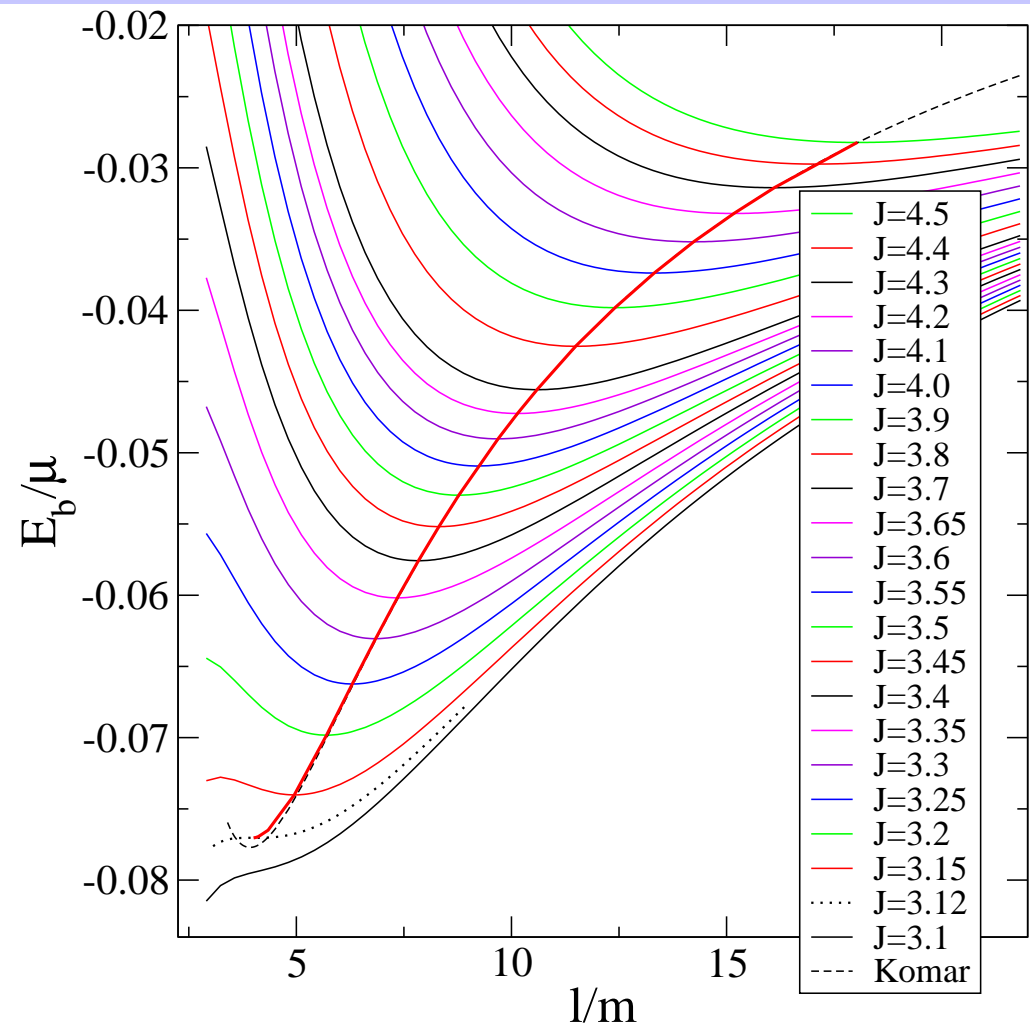
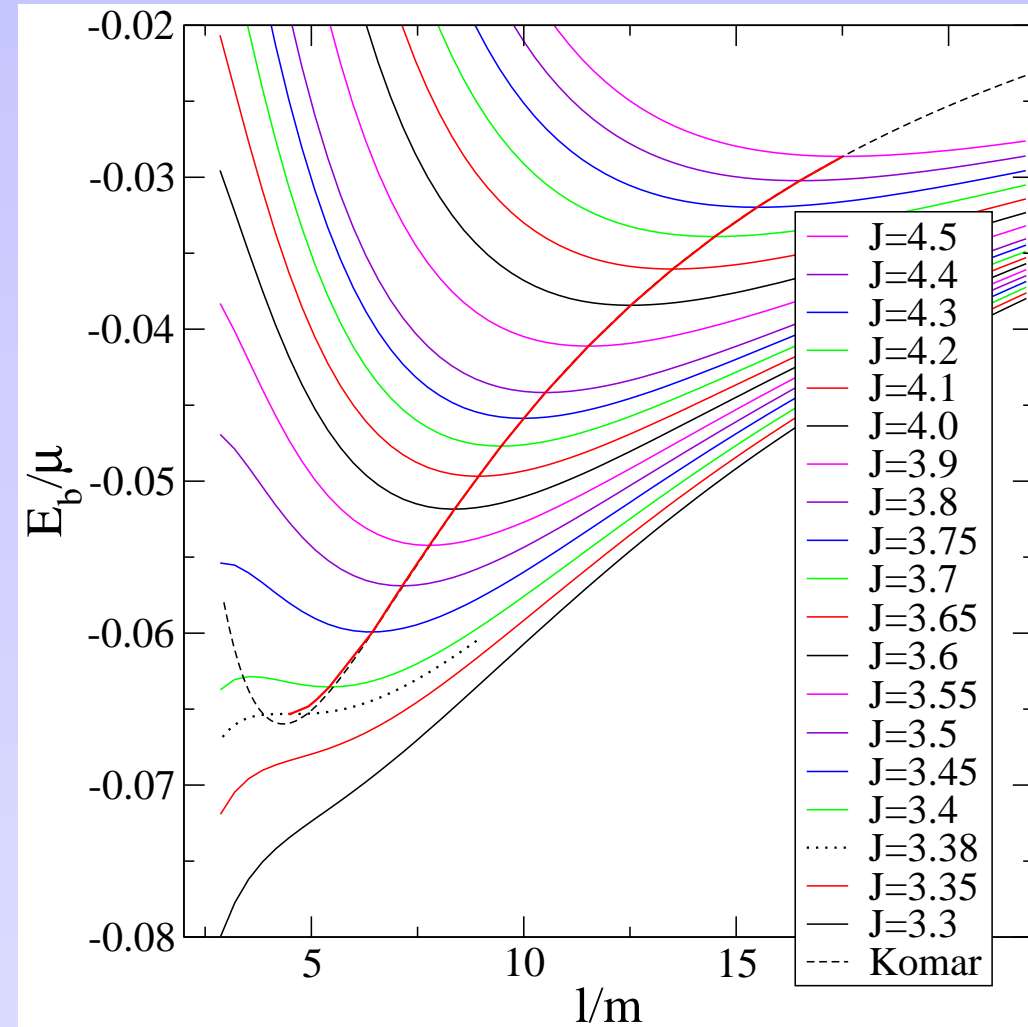
$$\frac{m}{b} = (m\Omega_0)^{2/3} \left[ 1 + \left(1 - \frac{1}{3}\eta\right) (m\Omega_0)^{2/3} + O\left(m\Omega_0\right)^{4/3} \right]$$

$$\frac{\Omega_{\text{T}}}{\Omega_0} = 1 - \eta (m\Omega_0)^{2/3} - \eta \left(1 - \frac{1}{3}\eta\right) (m\Omega_0)^{4/3} + \dots$$

# Equal Mass; Effective Potential; $E_b/\mu$ vs $\ell/m$

## Corotation

## Non-Spinning

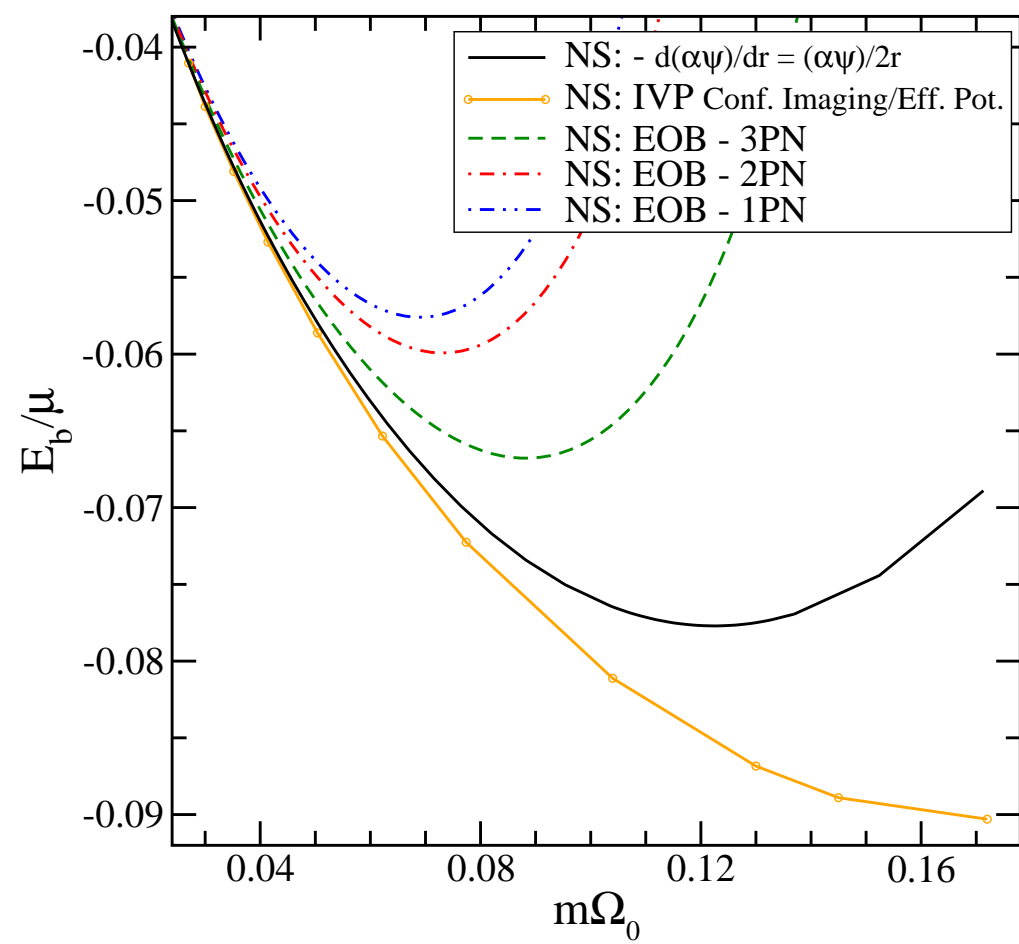
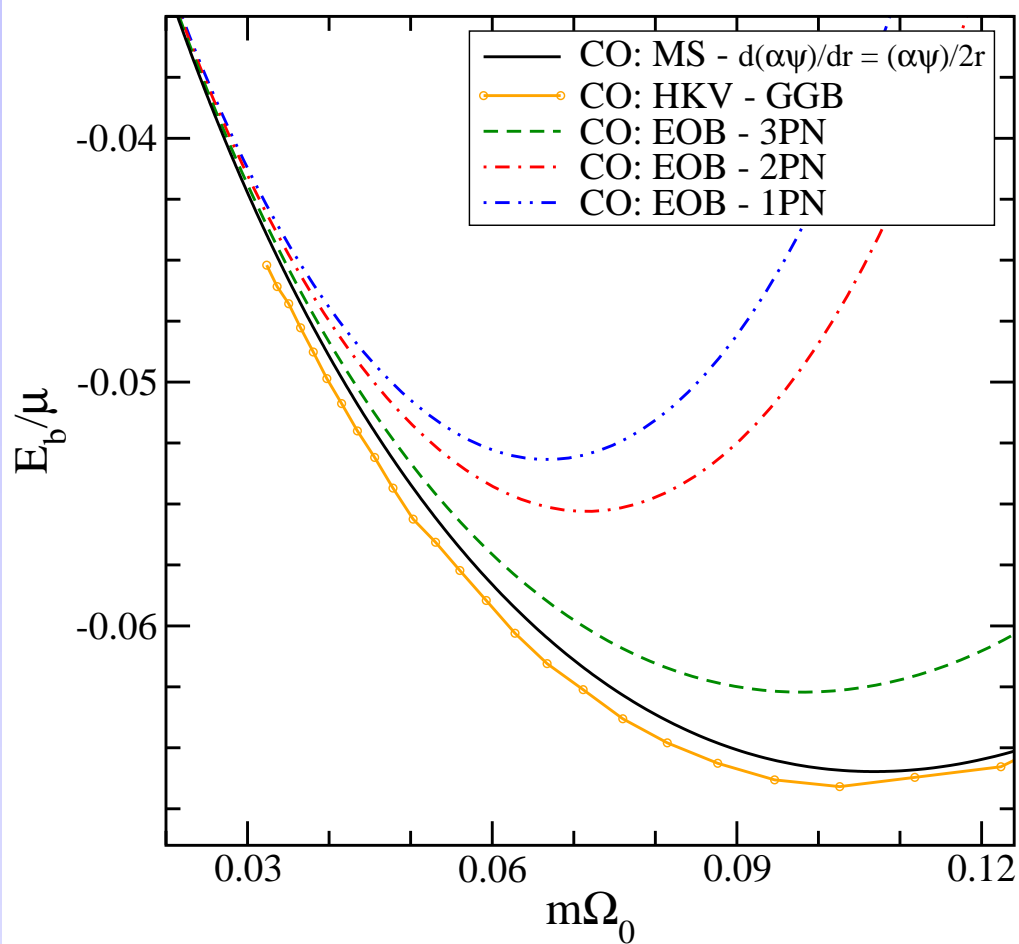


$$\delta E_{\text{ADM}} = \Omega_0 \delta J_{\text{ADM}} + \sum \kappa_i \delta \mathcal{A}_i [10]$$

# Equal Mass; Maximal Slice; $E_b/\mu$ vs $m\Omega$

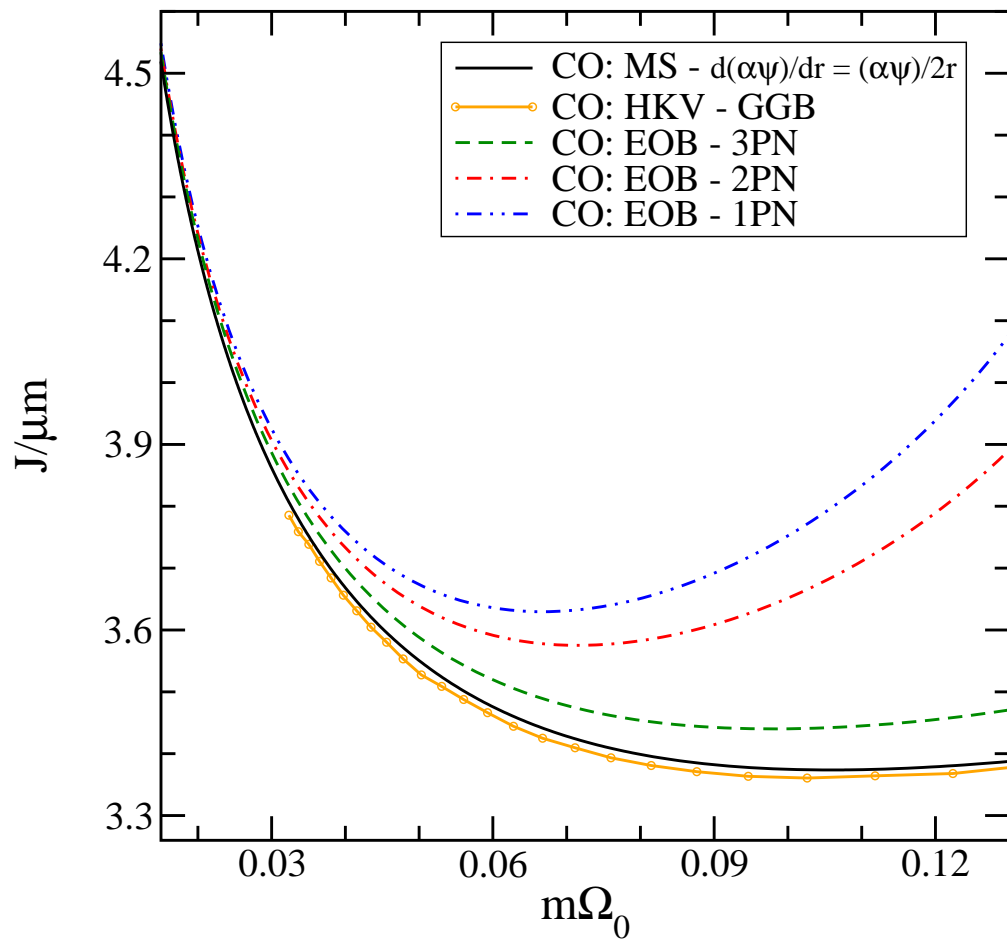
## Corotation

## Non-Spinning

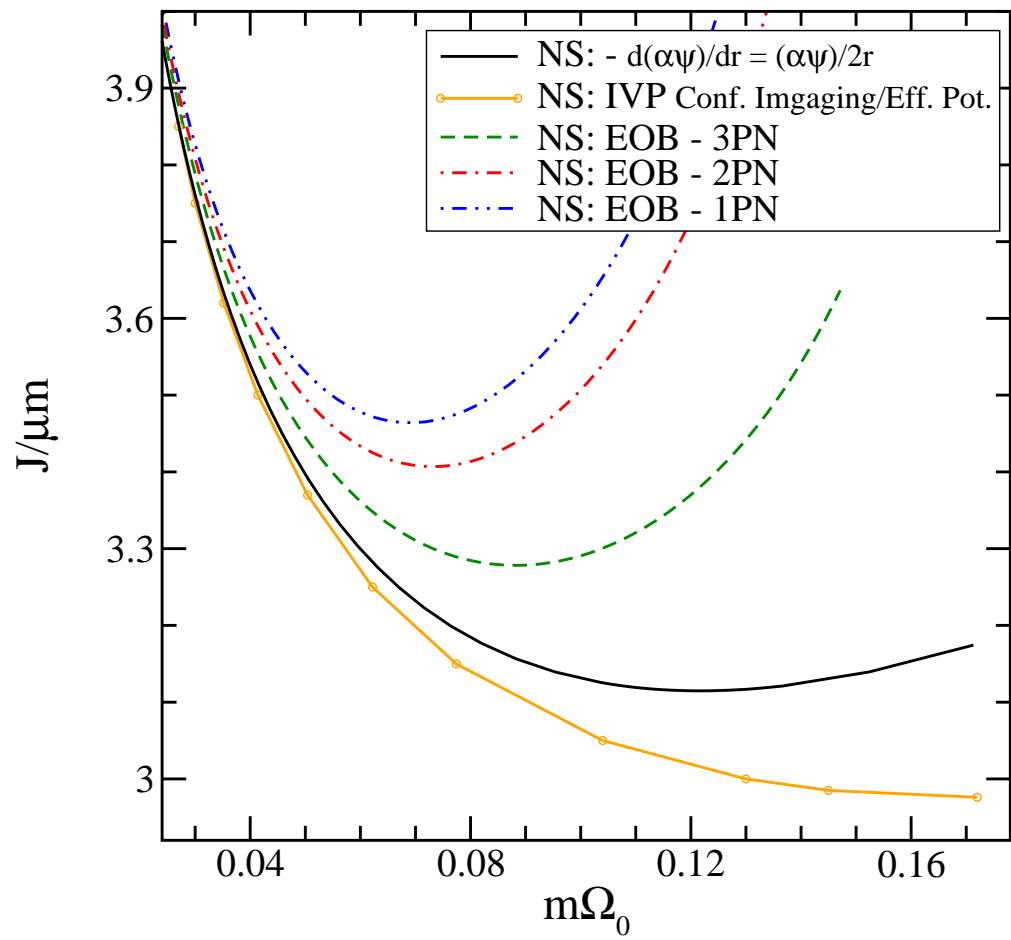


# Equal Mass; Maximal Slice; $J/\mu m$ vs $m\Omega$

## Corotation



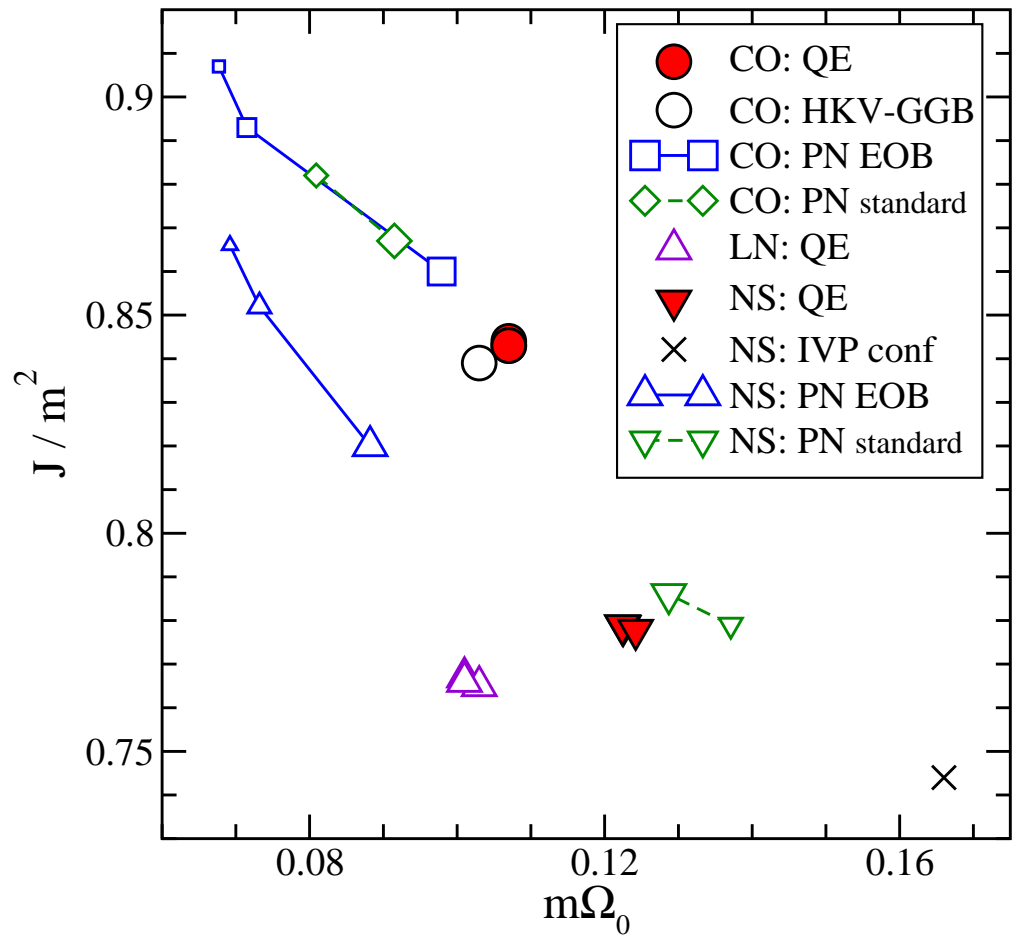
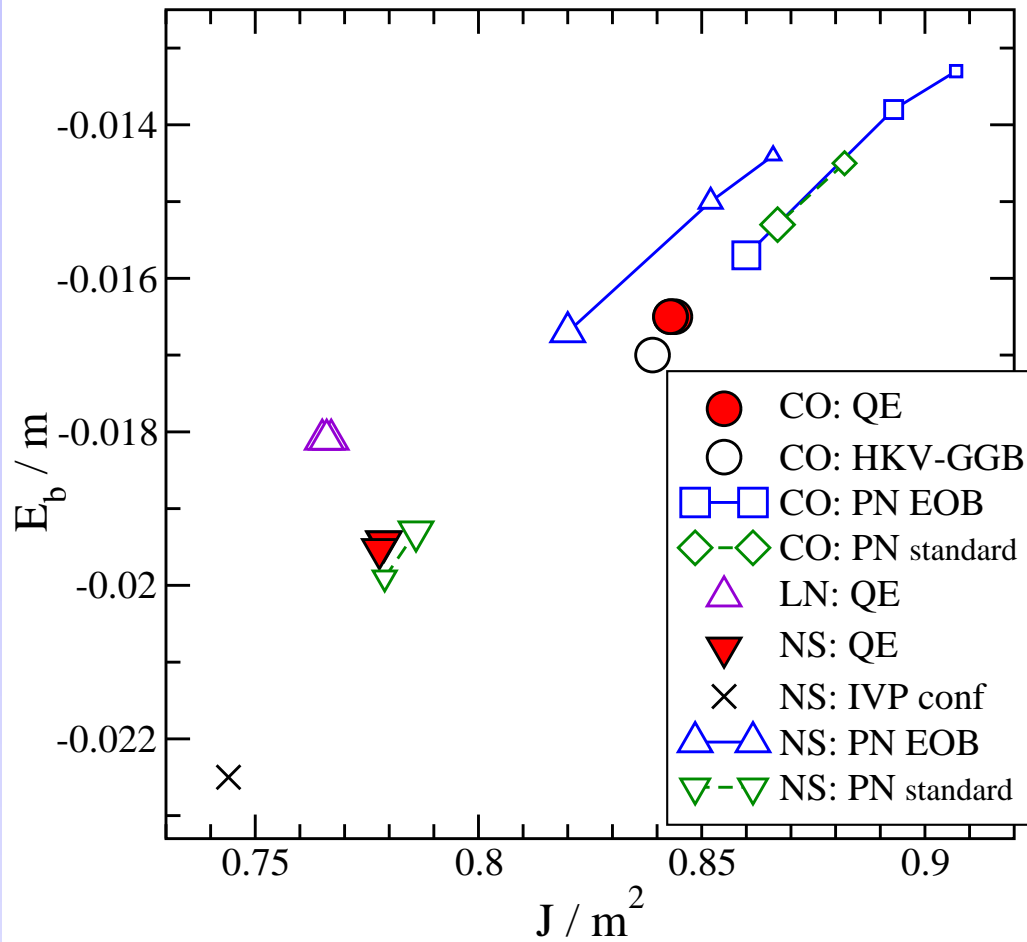
## Non-Spinning



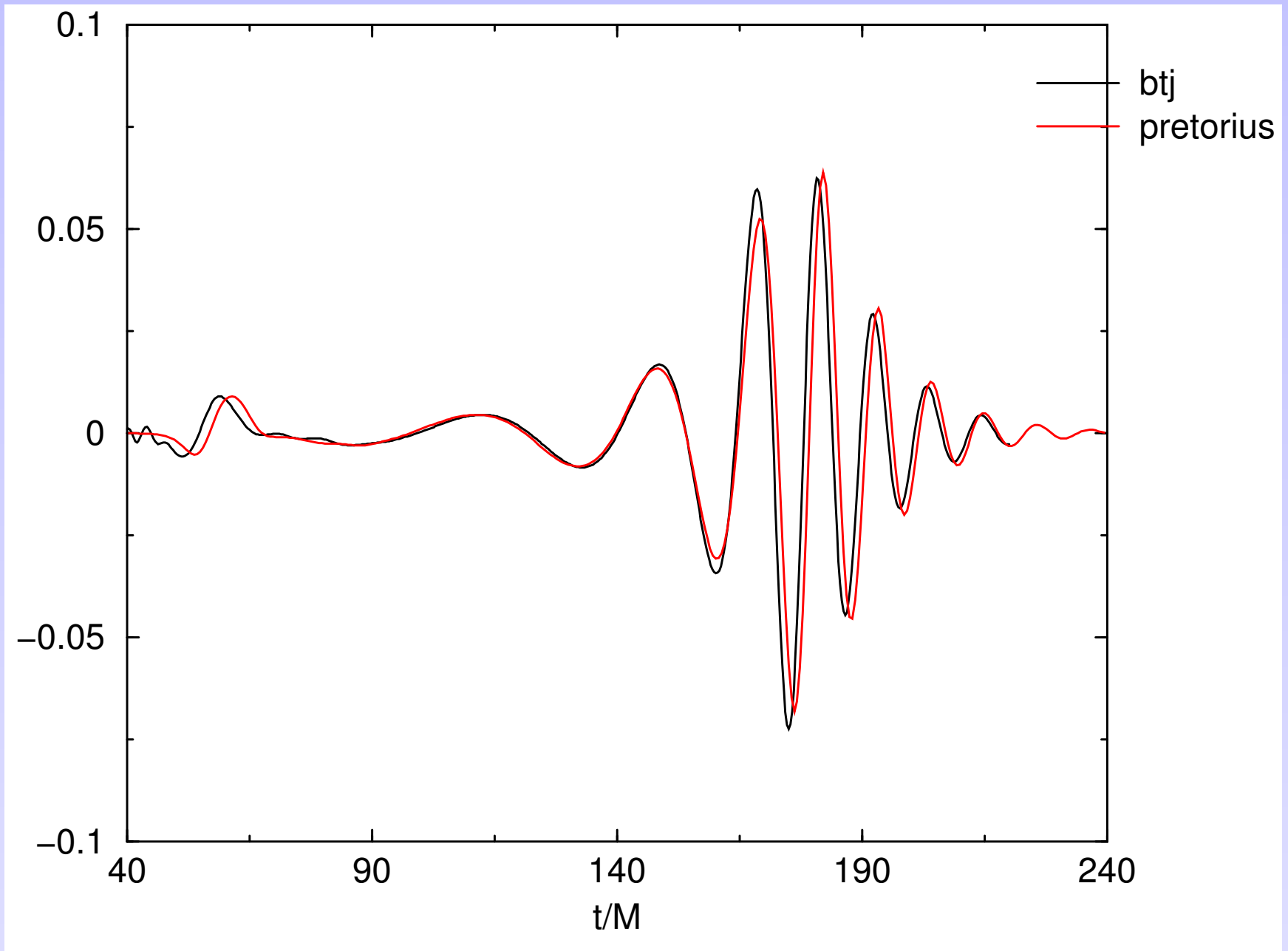
# Equal Mass; Comparison of ISCO

$E_b/m$  vs  $J/m^2$

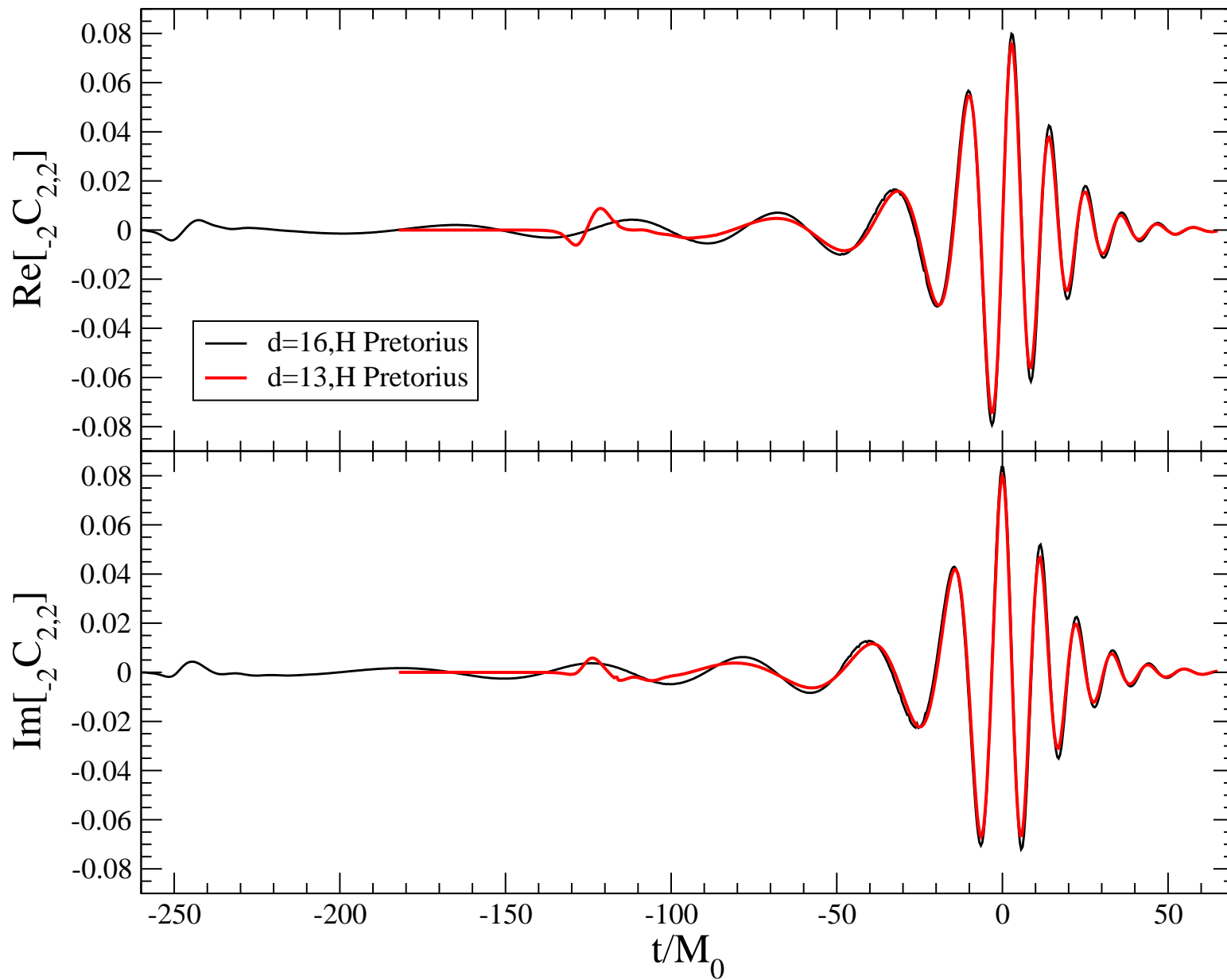
$J/m$  vs  $m\Omega$



# $\Psi_4$ waveforms from 2<sup>nd</sup> and 3<sup>rd</sup> Generation Initial Data.



# $\Psi_4$ waveforms from $d = 16$ & $d = 13$ corotating ID.



# Open Questions

- Arbitrary Spins.
- Unequal masses.
- Can we use this approach to construct binaries in elliptical orbits?
- \* Can we incorporate a *non-flat* conformal metric that is consistent with quasiequilibrium?
  - Will this reduce the initial wave transient?
  - Can we build in the initial outgoing wave?
- \* Can we build in an appropriate initial radial velocity?

## References

- [1] K. Alvi. Approximate binary-black-hole metric. *Phys. Rev. D*, 61:124013/1–19, June 2000. 11
- [2] A. Ashtekar and B. Krishnan. Dynamical horizons and their properties. *Phys. Rev. D*, 68:104030/1–25, 2003. 9
- [3] J. M. Bowen and J. W. York, Jr. Time-asymmetric initial data for black holes and black-hole collisions. *Phys. Rev. D*, 21:2047–2056, Apr. 1980. 4
- [4] S. Brandt and B. Brügmann. A simple construction of initial data for multiple black holes. *Phys. Rev. Lett.*, 78:3606–3609, May 1997. 4
- [5] D. R. Brill and R. W. Lindquist. Interaction energy in geometrostatics. *Phys. Rev.*, 131:471–476, July 1963. 3
- [6] J. D. Brown and J. W. York, Jr. Quasilocal energy and conserved charges derived from the gravitational action. *Phys. Rev. D*, 47:1407–1419, 1993. 9
- [7] G. B. Cook. Corotating and irrotational binary black holes in quasi-circular orbit. *Phys. Rev. D*, 65:084003/1–13, Apr. 2002. 5
- [8] G. B. Cook, M. W. Choptuik, M. R. Dubal, S. Klasky, R. A. Matzner, and S. R. Oliveira. Three-dimensional initial data for the collision of two black holes. *Phys. Rev. D*, 47:1471–1490, Feb. 1993. 4
- [9] G. B. Cook and H. P. Pfeiffer. Excision boundary conditions for black hole initial data. *Phys. Rev. D*, 70:104016/1–24, 2004. 5
- [10] J. L. Friedman, K. Uryū, and M. Shibata. Thermodynamics of binary black holes and neutron stars. *Phys. Rev. D*, 65:064035/1–20, Mar. 2002. 12
- [11] E. Gourgoulhon, P. Grandclément, and S. Bonazzola. Binary black holes in circular orbits. I. A global spacetime approach. *Phys. Rev. D*, 65:044020/1–19, Feb. 2002. 5

- [12] P. Grandclément, E.ourgoulhon, and S. Bonazzola. Binary black holes in circular orbits. II. Numerical methods and first results. *Phys. Rev. D*, 65:044021/1–18, Feb. 2002. 5
- [13] M. D. Hannam. Quasi-circular orbits for conformal thin-sandwich puncture binary black holes. *Phys. Rev. D*, 72:044025/1–8, Aug. 2005. 5
- [14] R. W. Lindquist. Initial-value problem on Einstein-Rosen manifolds. *J. Math. Phys.*, 4:938–950, July 1963. 3
- [15] P. Marronetti and R. A. Matzner. Solving the initial value problem of two black holes. *Phys. Rev. Lett.*, 85:5500–5503, Dec. 2000. 5
- [16] C. W. Misner. The method of images in geometrostatics. *Ann. Phys.*, 24:102–117, Oct. 1963. 3
- [17] H. P. Pfeiffer, G. B. Cook, and S. A. Teukolsky. Comparing initial-data sets for binary black holes. *Phys. Rev. D*, 66:024047/1–17, July 2002. 5
- [18] J. Thornburg. Coordinate and boundary conditions for the general relativistic initial data problem. *Class. Quantum Gravit.*, 4:1119–1131, Sept. 1987. 4
- [19] W. Tichy and B. Brügmann. Quasiequilibrium binary black hole sequences for puncture data derived from helical Killing vector conditions. *Phys. Rev. D*, 69:024006/1–7, Jan. 2004. 5
- [20] W. Tichy, B. Brügmann, M. Campanelli, and P. Diener. Binary black hole initial data for numerical general relativity based on post-newtonian data. *Phys. Rev. D*, 67:064008/1–13, Mar. 2003. 5
- [21] H.-J. Yo, J. N. Cook, S. L. Shapiro, and T. W. Baumgarte. Quasi-equilibrium binary black hole initial data for dynamical evolutions. *Phys. Rev. D*, 70:084033/1–14, Oct. 2004. 5